

QUALITY OF DOCUMENTATION

1= Poor

3 = Meets Standard

5= Excellent

Reviewer:**RTC**

Consumer Name:

Consumer M.A. #/SS#:

<p>1. Has the consumer (or their legal guardian) consented to treatment?</p> <p style="text-align: center;">Yes / No</p>	<p>Comments:</p>
<p>2. Have the courts adjudicated the resident's legal status, are there copies of court orders or custody agreements?</p> <p style="text-align: center;">Yes / No / NA</p>	<p>Comments:</p>
<p>3. Has the resident and their parents/legal guardians received a complete and thorough notification regarding the Restraint and Seclusion Policies? 42CFR Ch. IV 483.356 [c] (d)</p> <p style="text-align: center;">Yes/ No</p>	<p>Comments:</p>
<p>4. Have the parents/legal guardians been notified each time there has been a seclusion or restraint intervention? 42CFR Ch. IV 483.366</p> <p style="text-align: center;">Yes/ No</p>	<p>Comments:</p>
<p>5. Does the Medical Record document a safe environment plan that was developed in collaboration with the resident and parents/legal guardian?</p> <p style="text-align: center;">1 2 3 4 5</p>	<p>Comments:</p>
<p>6. Does the medical record meet all requirements for the documentation of orders and implementation, monitoring, medical tx provided (if necessary), and follow-up of a restraint or seclusion? 42CFR Ch IV 483.358, 360, 362, 364, 366, 370</p> <p style="text-align: center;">1 2 3 4 5</p>	<p>Comments:</p>
<p>7. Are all the required elements of the Certificate of Need (CON) present and does the facility's assessment confirm that the resident requires this Level of Care (LOC). 42CFR Ch. IV 441.152, 153</p> <p style="text-align: center;">Yes/ No</p>	<p>Comments:</p>

<p>8. Is there a comprehensive assessment completed with the involvement of the resident and the family/guardians? <i>CFR 441.155 (b) 1</i> <i>JCAHO PC.2.1.0-2.110, 3.20, 3.30</i></p> <p style="text-align: right;">1 2 3 4 5</p>	<p><i>Comments:</i></p>
<p>9. Is a plan of care developed within 14 days and every 30 days? <i>CFR 441.155</i></p> <p style="text-align: right;">Yes/ No</p>	<p><i>Comments:</i></p>
<p>10. Does the medical record document active participation in establishing the goals, objectives, and interventions of the Plan of Care by the consumer and their parent guardian? <i>CFR 441.155 (b) (2)</i> <i>JCAHO PC 4.50, 4.60</i></p> <p style="text-align: right;">1 2 3 4 5</p>	<p><i>Comments:</i></p>
<p>11. Are the Plan of Care goals/objectives related to the assessment? (symptoms, skill deficits, resources)? <i>CFR 441.155 (b) (1)</i></p> <p style="text-align: right;">1 2 3 4 5</p>	<p><i>Comments:</i></p>
<p>12. Does the Plan of Care contain goals objectives or outcomes that are individualized, specific and measurable with an achievable timeframe? <i>CFR 441.155 (3)</i></p> <p style="text-align: right;">1 2 3 4 5</p>	<p><i>Comments:</i></p>
<p>13. Are the interventions on the Plan of Care congruent with goals/objectives? <i>CFR 441.155 (4)</i> <i>JCAHO PC 4.80</i></p> <p style="text-align: right;">1 2 3 4 5</p>	<p><i>Comments:</i></p>
<p>14. Does the medical record document the development of a comprehensive discharge plan beginning at the initial assessment and continuing during treatment planning? <i>JCAHO 15.10, 20</i> <i>CFR 441.155 (5)</i></p> <p style="text-align: right;">1 2 3 4 5</p>	<p><i>Comments:</i></p>
<p>15. Do the discharge planning process and services include ongoing communication and planning with key community resources (i.e. CSA's, LMB's, DSS, DJS, Education, Involved providers, etc.) ? <i>CFR 441,155 (5)</i></p> <p style="text-align: right;">1 2 3 4 5</p>	<p><i>Comments:</i></p>

<p>16. Does the ITP include all required signatures? <i>CFR 441.156</i></p> <p style="text-align: right;"><i>Yes / No</i></p>	<p>Comments:</p>
<p>17. Does the RTC exhibit a strong commitment to a family/provider partnership?</p> <p style="text-align: right;"><i>1 2 3 4 5</i></p>	<p>Comments:</p>
<p>18. Are the Progress Notes complete? <i>MHA Draft OMHC Regulations</i></p> <p style="text-align: right;"><i>1 2 3 4 5</i></p>	<p>Comments:</p>
<p>19. Do Progress/Contact Notes reflect interventions in the Plan of Care are being implemented to achieve their related goals? <i>CFR 441.154</i> <i>JCAHO PC 5.10</i></p> <p style="text-align: right;"><i>1 2 3 4 5</i></p>	<p>Comments:</p>
<p>20. Do the Progress/Contact Notes reflect the consumer's progress towards the goals of the Plan of Care?</p> <p style="text-align: right;"><i>1 2 3 4 5</i></p>	<p>Comments:</p>
<p>21. Are the assessment, Plan of Care and Progress/Contact Notes consistent with the current APS Care Connection? <i>Provider Manual</i></p> <p style="text-align: right;"><i>1 2 3 4 5</i></p>	<p>Comments:</p>

2/6/2006